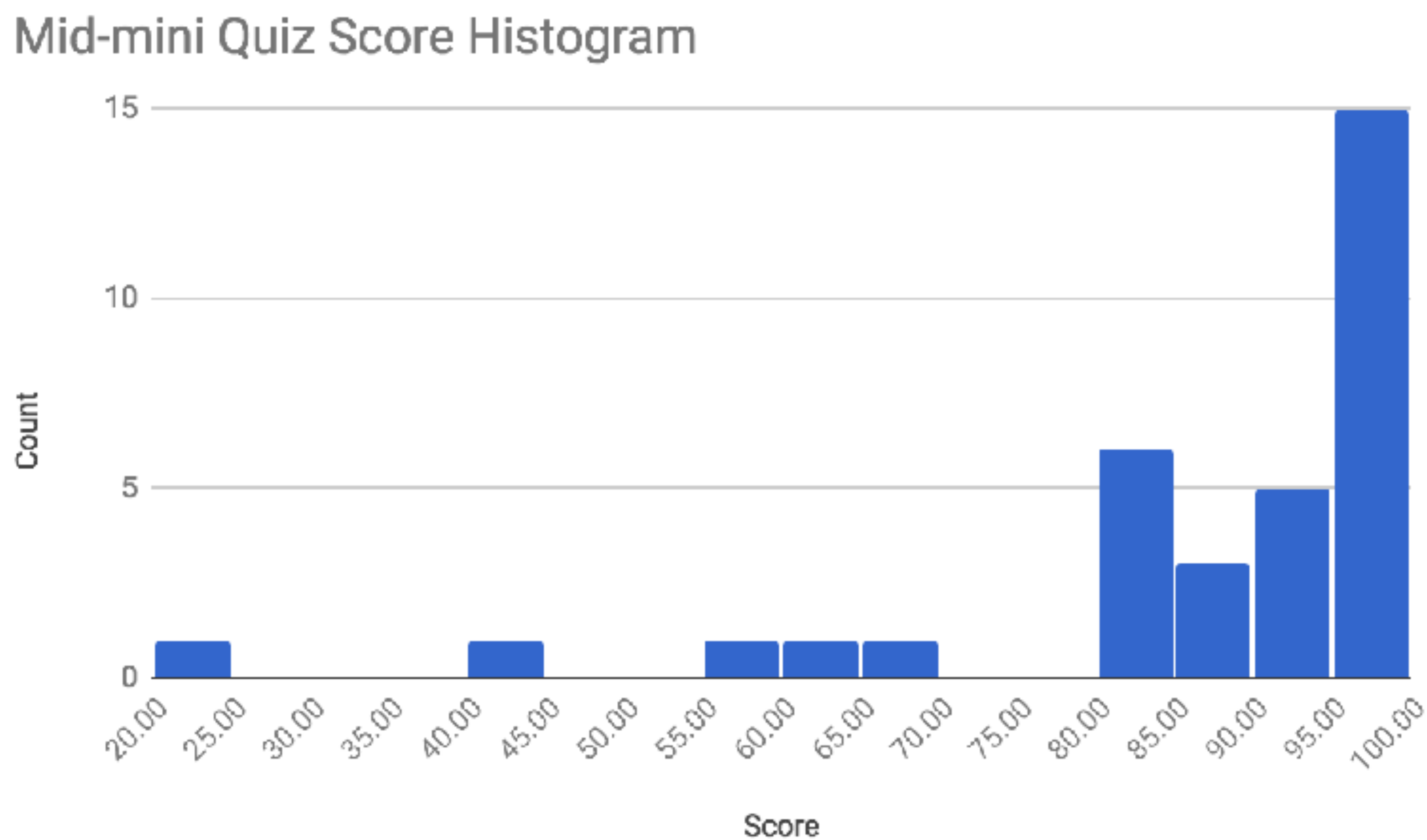
Carnegie Mellon University
HeinzCollege

Image analysis with CNNs, time series analysis with RNNs

George Chen
(some neural net & deep learning slides are by Phillip Isola)

CMU 95-865 Spring 2018

Mid-Mini Quiz

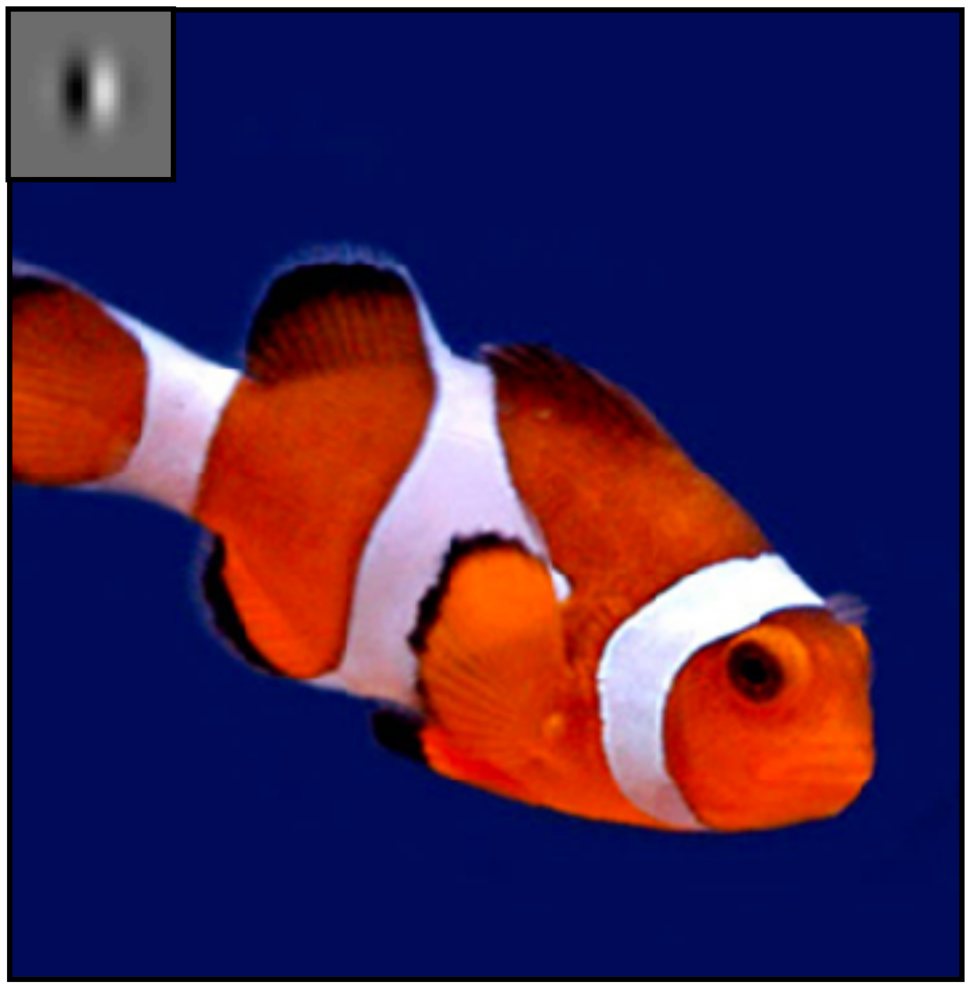


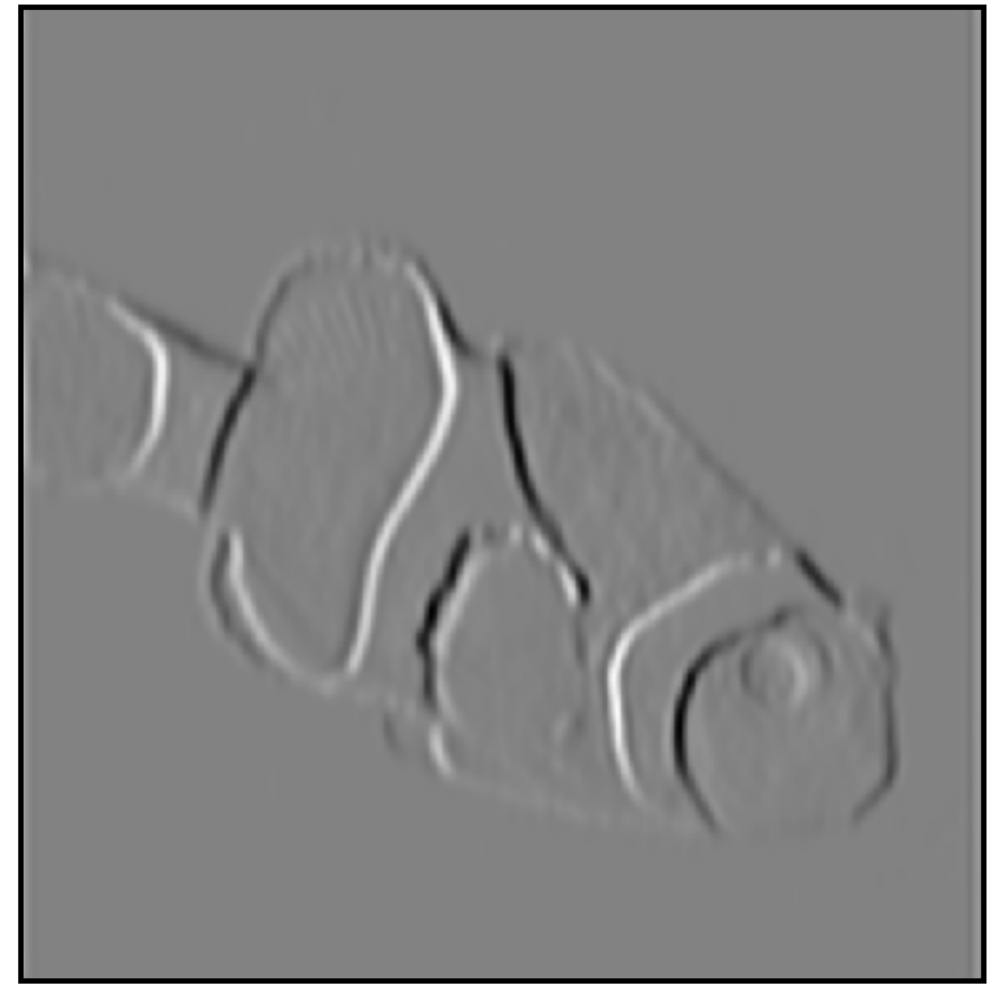
Mean: 88.1, standard deviation: 16.7

Re-grade requests (HW2 and mid-mini quiz) due on Monday 11:59pm

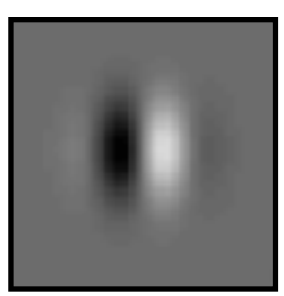
Image analysis with Convolutional Neural Nets (CNNs, also called convnets)

Convolution





filter



Slide by Phillip Isola

Convolution

0	0	0	0	0	0	0
0	0	1	1	1	0	0
0	1	1	1	1	1	0
0	1	1	1	0	0	0
0	1	1	1	1	1	0
0	0	1	1	1	0	0
0	0	0	0	0	0	0

Input image

0	0	0
0	1	0
0	0	0

Filter
(also called “kernel”)

Convolution

0	0	0	0	0	0	0
0	0	1	1	1	0	0
0	1	1	1	1	1	0
0	1	1	1	0	0	0
0	1	1	1	1	1	0
0	0	1	1	1	0	0
0	0	0	0	0	0	0

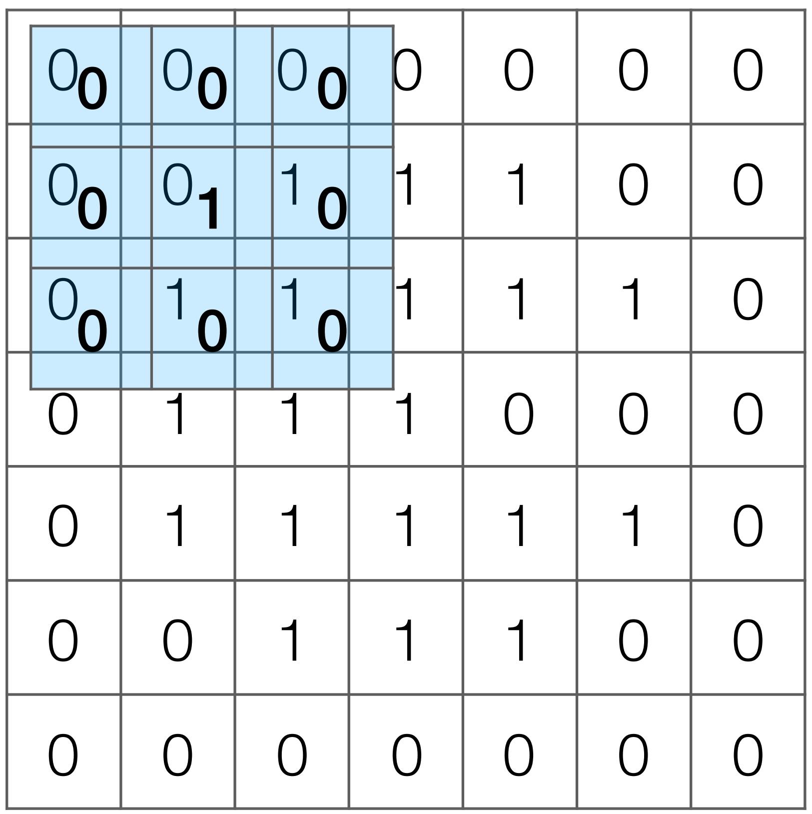
Input image

0	**0**	**0**
0	**1**	**0**
0	**0**	**0**

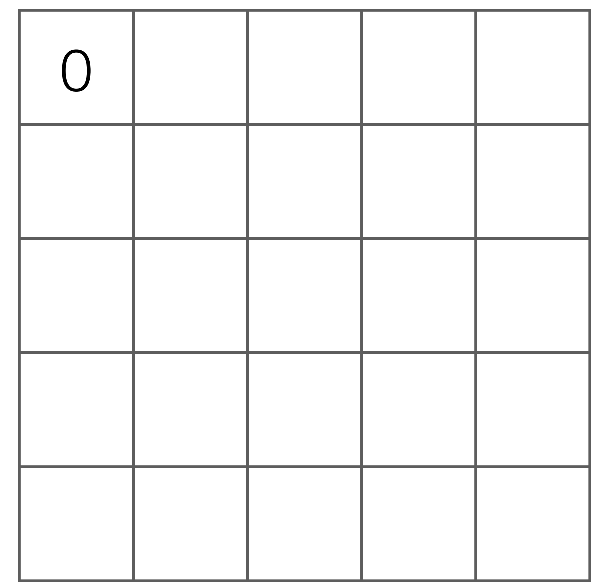
Filter
(also called “kernel”)

Convolution

Take dot product!

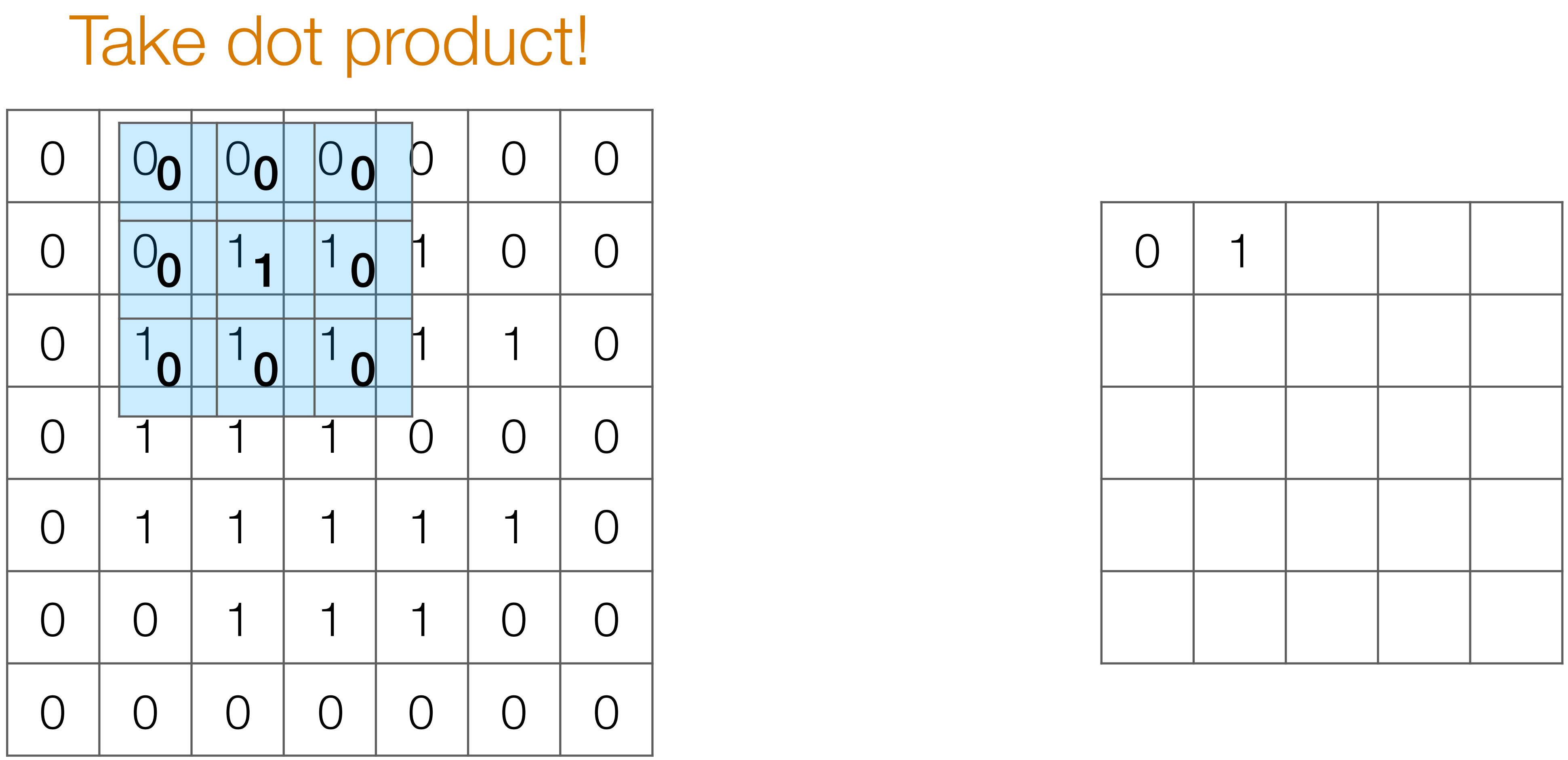


Input image



Output image

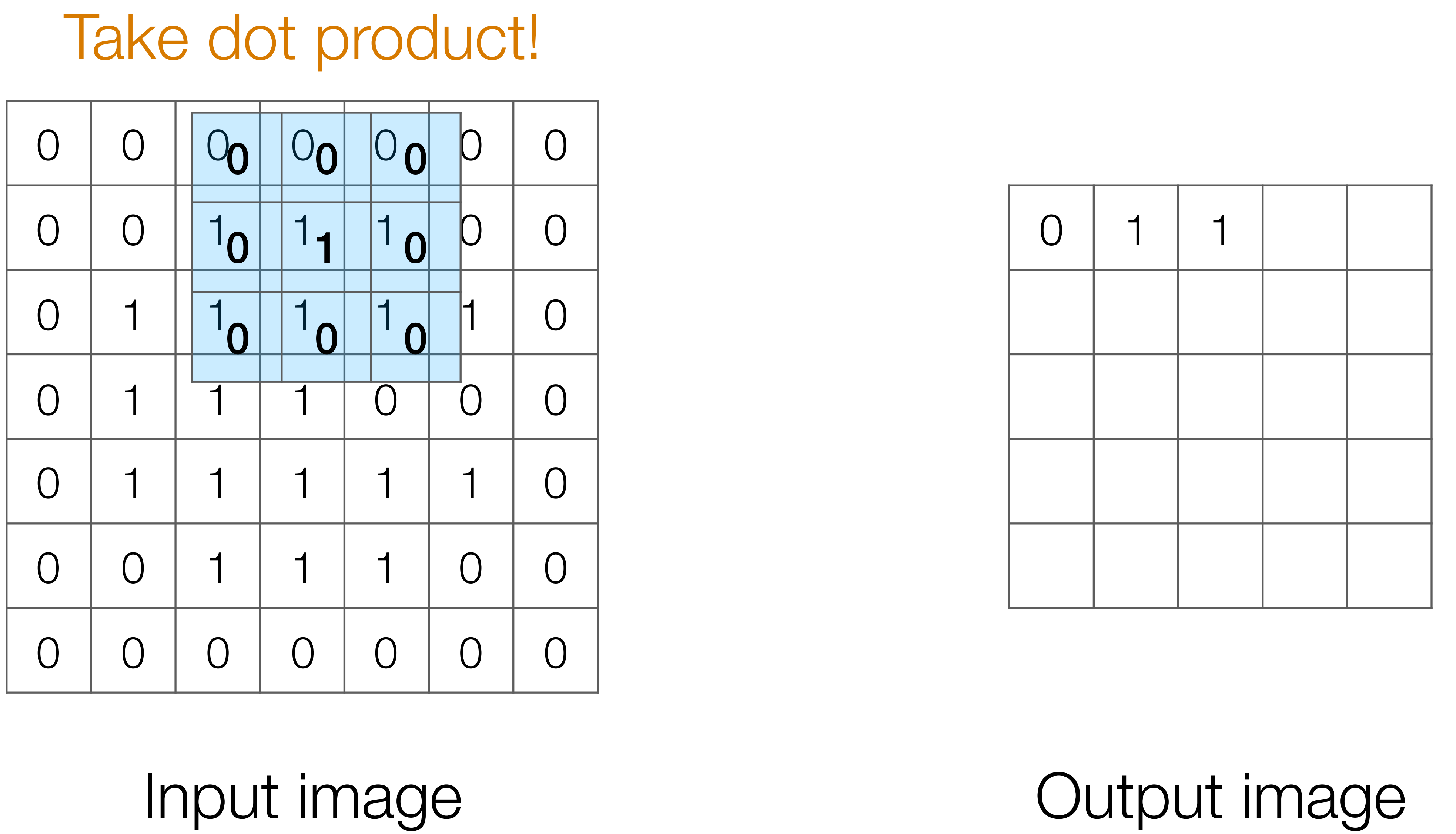
Convolution



Input image

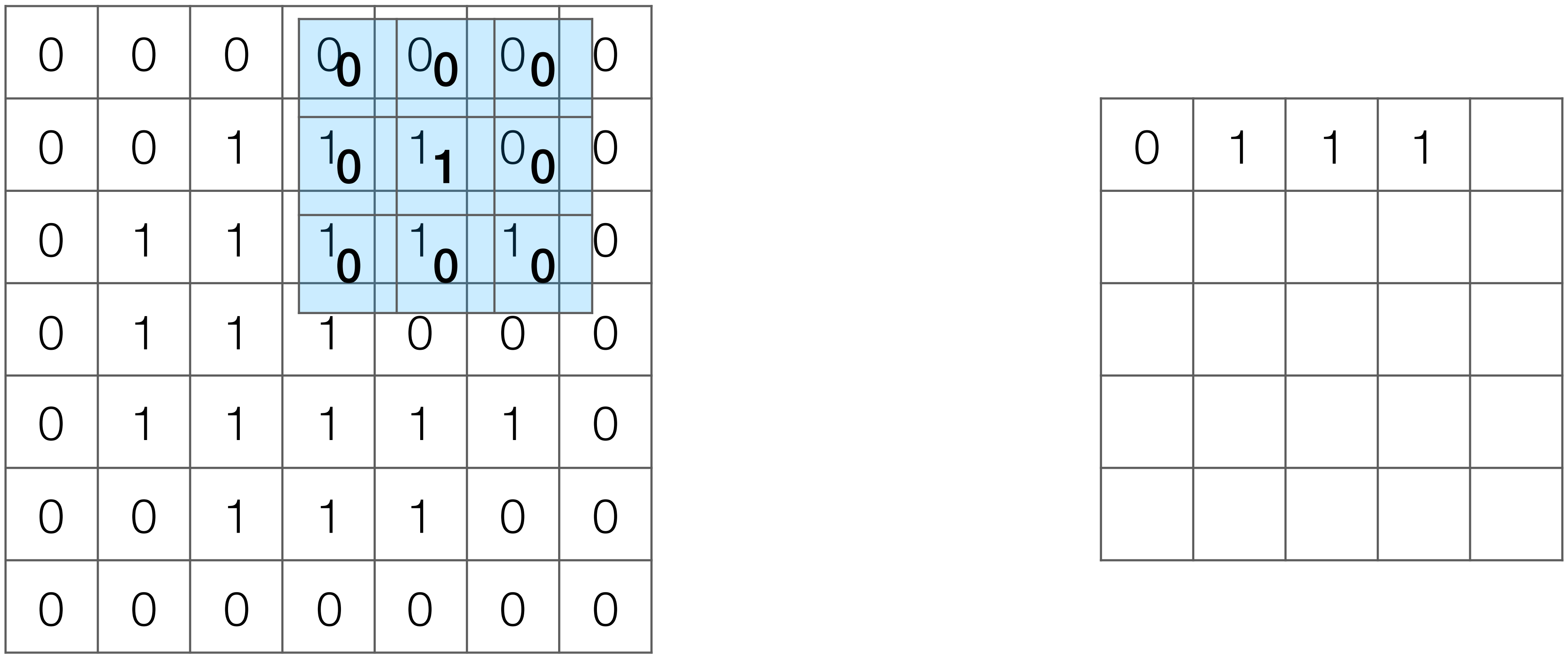
Output image

Convolution



Convolution

Take dot product!

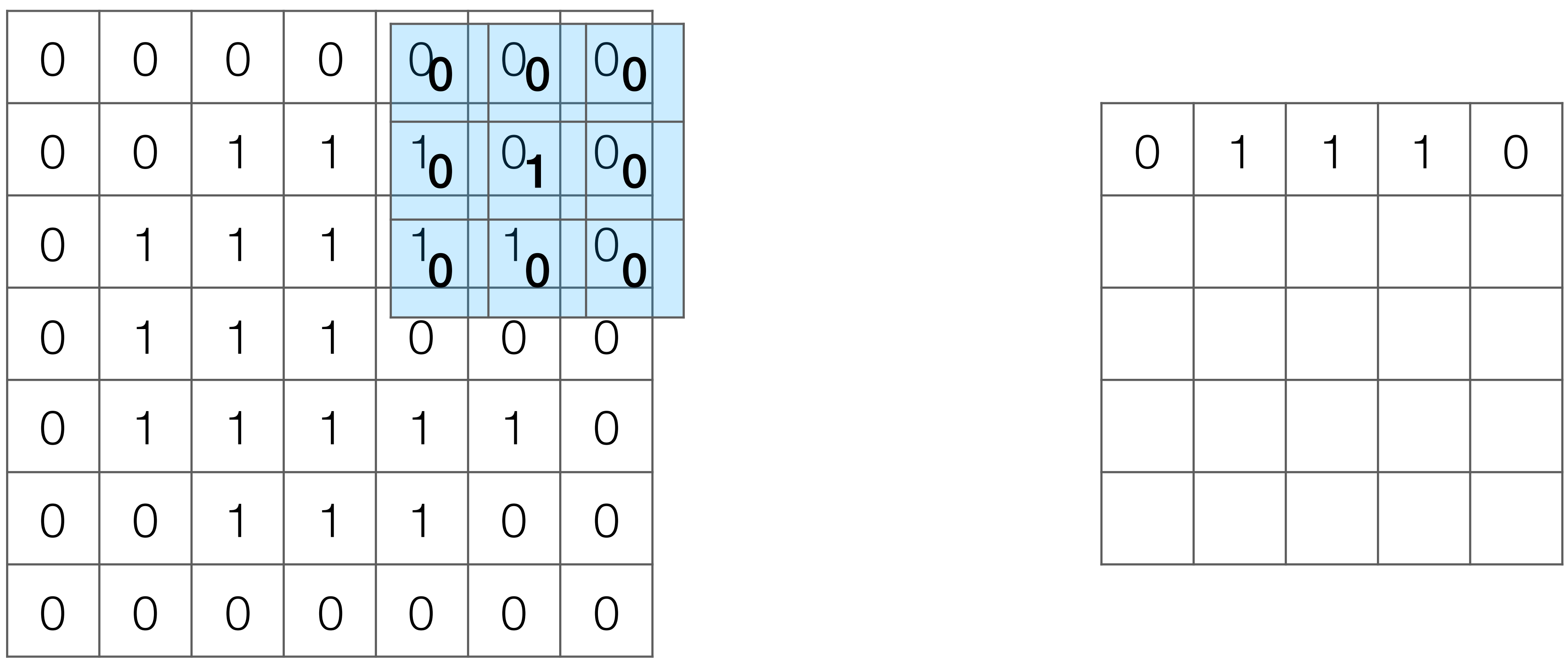


Input image

Output image

Convolution

Take dot product!

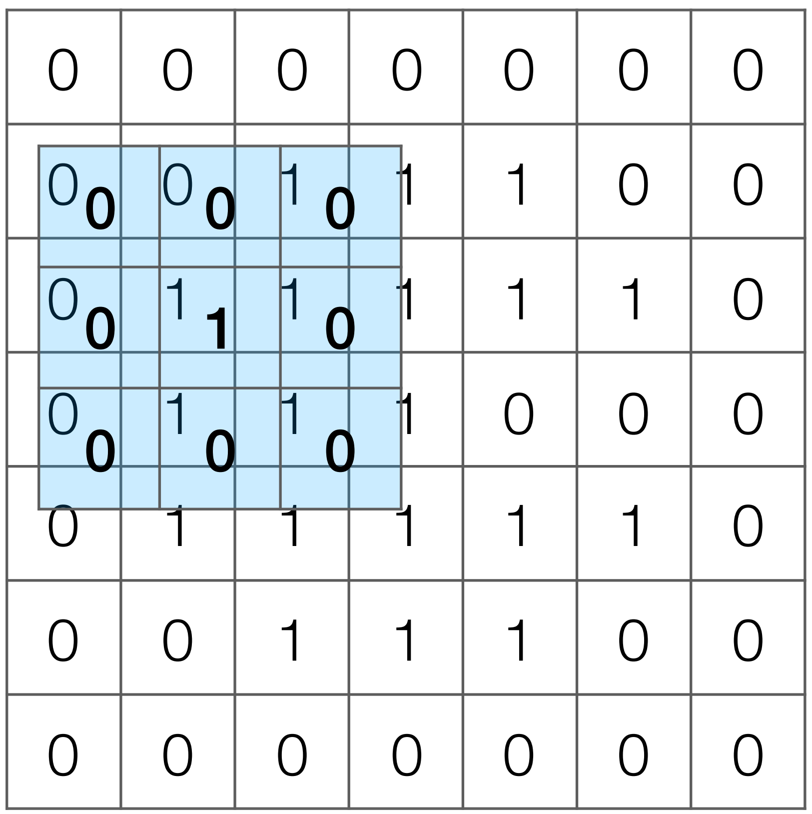


Input image

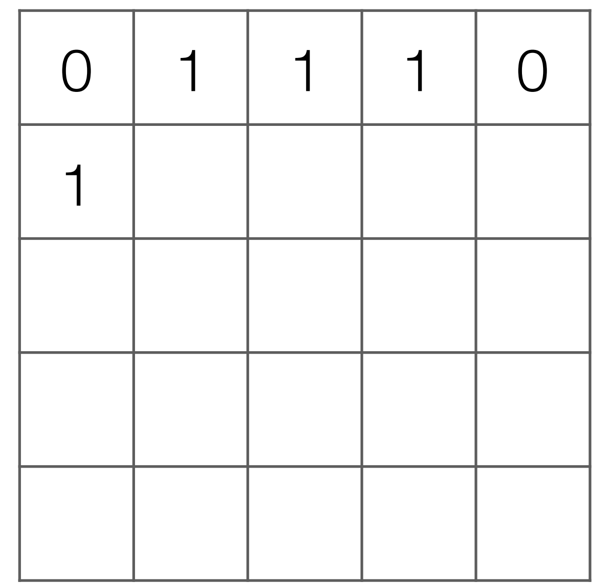
Output image

Convolution

Take dot product!



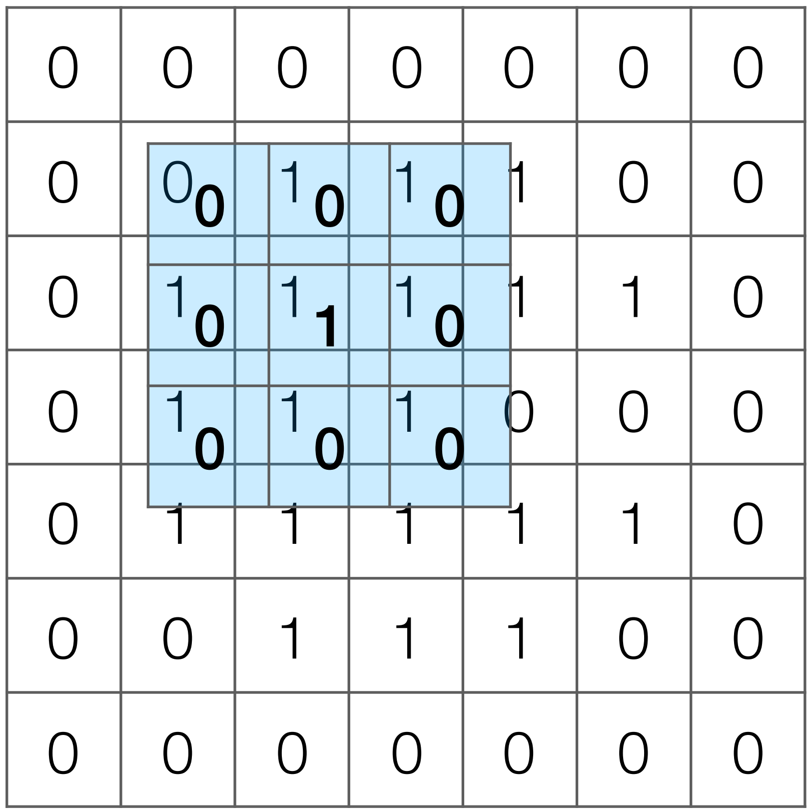
Input image



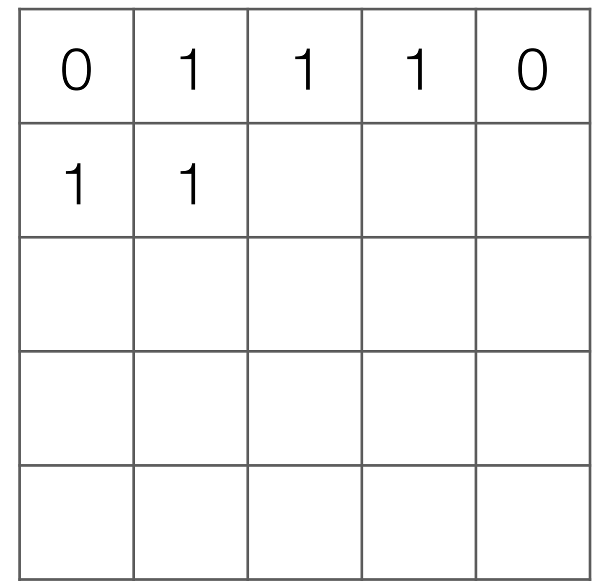
Output image

Convolution

Take dot product!



Input image



Output image

Convolution

0	0	0	0	0	0	0
0	0	1	1	1	0	0
0	1	1	1	1	1	0
0	1	1	1	0	0	0
0	1	1	1	1	1	0
0	0	1	1	1	0	0
0	0	0	0	0	0	0

*

0	**0**	**0**
0	**1**	**0**
0	**0**	**0**

=

0	1	1	1	0
1	1	1	1	1
1	1	1	0	0
1	1	1	1	1
0	1	1	1	0

Input image

Output image

Note: output image is smaller than input image

If you want output size to be same as input, pad 0's to input

Convolution

$$
\begin{bmatrix}
0&0&0&0&0&0&0&0&0\\
0&0&0&0&0&0&0&0&0\\
0&0&0&1&1&1&0&0&0\\
0&0&1&1&1&1&1&0&0\\
0&0&1&1&1&0&0&0&0\\
0&0&1&1&1&1&1&0&0\\
0&0&0&1&1&1&0&0&0\\
0&0&0&0&0&0&0&0&0\\
0&0&0&0&0&0&0&0&0
\end{bmatrix}
*
\begin{bmatrix}
0&0&0\\
0&1&0\\
0&0&0
\end{bmatrix}
=
\begin{bmatrix}
0&0&0&0&0&0&0\\
0&0&1&1&1&0&0\\
0&1&1&1&1&1&0\\
0&1&1&1&0&0&0\\
0&1&1&1&1&1&0\\
0&0&1&1&1&0&0\\
0&0&0&0&0&0&0
\end{bmatrix}
$$

Input image

Output image

Note: output image is smaller than input image

If you want output size to be same as input, pad 0's to input

Convolution

$$
\begin{bmatrix}
0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 1 & 1 & 1 & 1 & 1 & 0 \\
0 & 1 & 1 & 1 & 0 & 0 & 0 \\
0 & 1 & 1 & 1 & 1 & 1 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0
\end{bmatrix}
*
\begin{bmatrix}
\mathbf{0} & \mathbf{0} & \mathbf{0} \\
\mathbf{0} & \mathbf{1} & \mathbf{0} \\
\mathbf{0} & \mathbf{0} & \mathbf{0}
\end{bmatrix}
=
\begin{bmatrix}
0 & 1 & 1 & 1 & 0 \\
1 & 1 & 1 & 1 & 1 \\
1 & 1 & 1 & 0 & 0 \\
1 & 1 & 1 & 1 & 1 \\
0 & 1 & 1 & 1 & 0
\end{bmatrix}
$$

Input image

Output image

Convolution

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} * \frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} = \frac{1}{9} \begin{bmatrix} 3 & 5 & 6 & 5 & 3 \\ 5 & 8 & 8 & 6 & 3 \\ 6 & 9 & 8 & 7 & 4 \\ 5 & 8 & 8 & 6 & 3 \\ 3 & 5 & 6 & 5 & 3 \end{bmatrix}$$

Input image

Output image

Convolution

$$
\begin{bmatrix}
0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 1 & 1 & 1 & 1 & 1 & 0 \\
0 & 1 & 1 & 1 & 0 & 0 & 0 \\
0 & 1 & 1 & 1 & 1 & 1 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0
\end{bmatrix}
*
\begin{bmatrix}
\mathbf{-1} & \mathbf{-1} & \mathbf{-1} \\
\mathbf{2} & \mathbf{2} & \mathbf{2} \\
\mathbf{-1} & \mathbf{-1} & \mathbf{-1}
\end{bmatrix}
=
\begin{bmatrix}
0 & 1 & 3 & 1 & 0 \\
1 & 1 & 1 & 3 & 3 \\
0 & 0 & -2 & -4 & -4 \\
1 & 1 & 1 & 3 & 3 \\
0 & 1 & 3 & 1 & 0
\end{bmatrix}
$$

Input image

Output image

Convolution

Very commonly used for:

- Blurring an image

*

1/9	1/9	1/9
1/9	1/9	1/9
1/9	1/9	1/9

=

- Finding edges

*

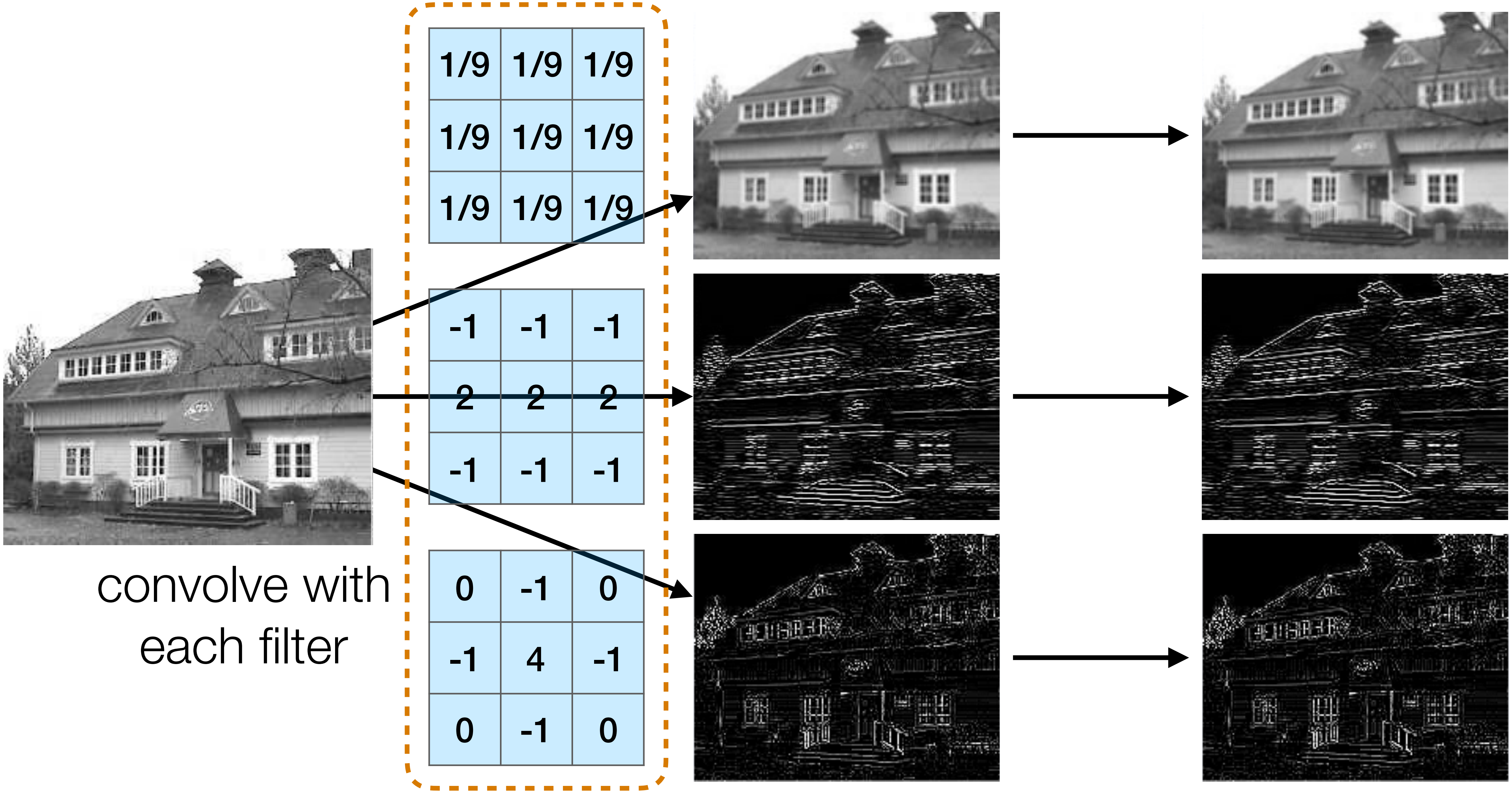
-1	-1	-1
2	2	2
-1	-1	-1

=

(this example finds horizontal edges)

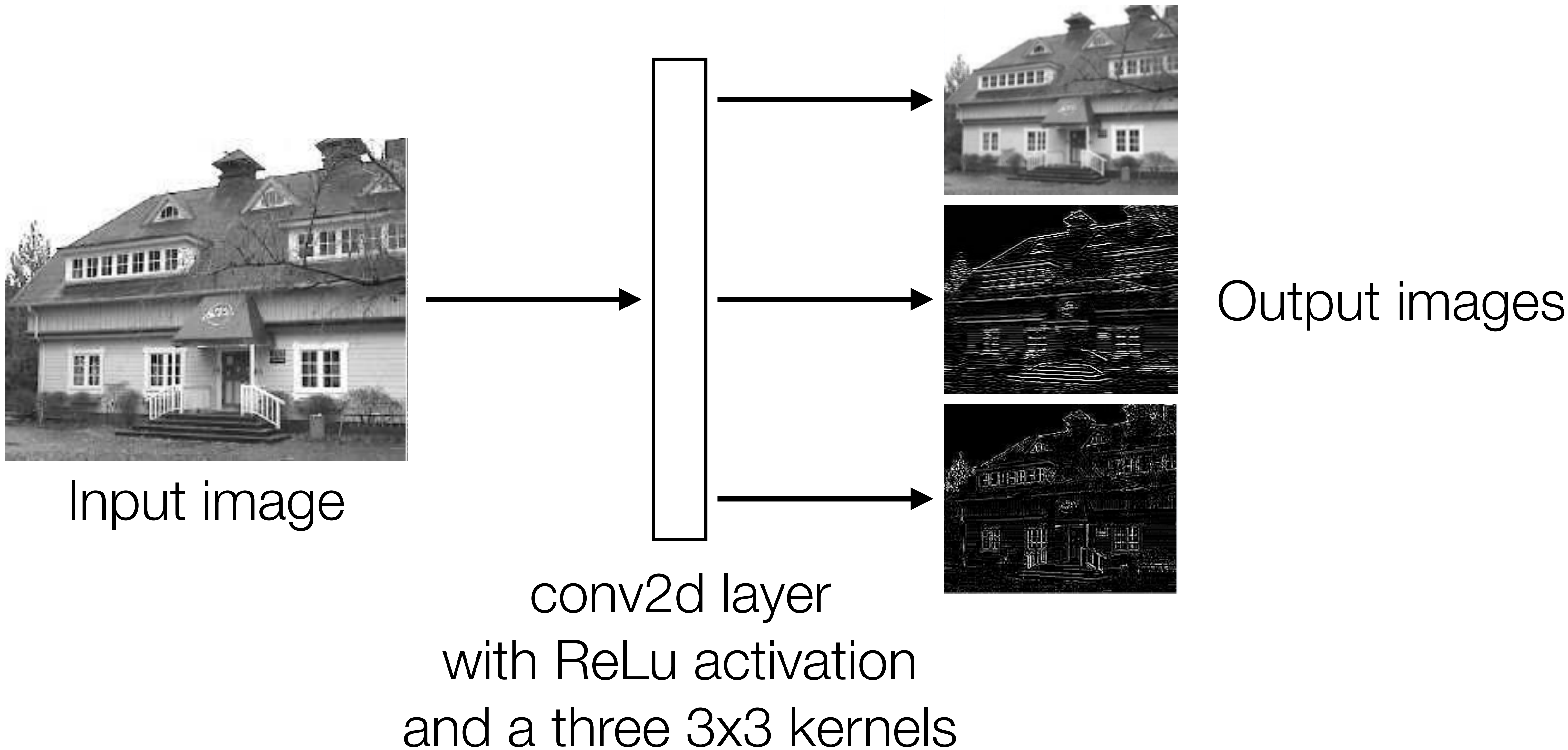
Images from: http://aishack.in/tutorials/image-convolution-examples/

Convolution Layer



Images from: http://aishack.in/tutorials/image-convolution-examples/

Convolution Layer

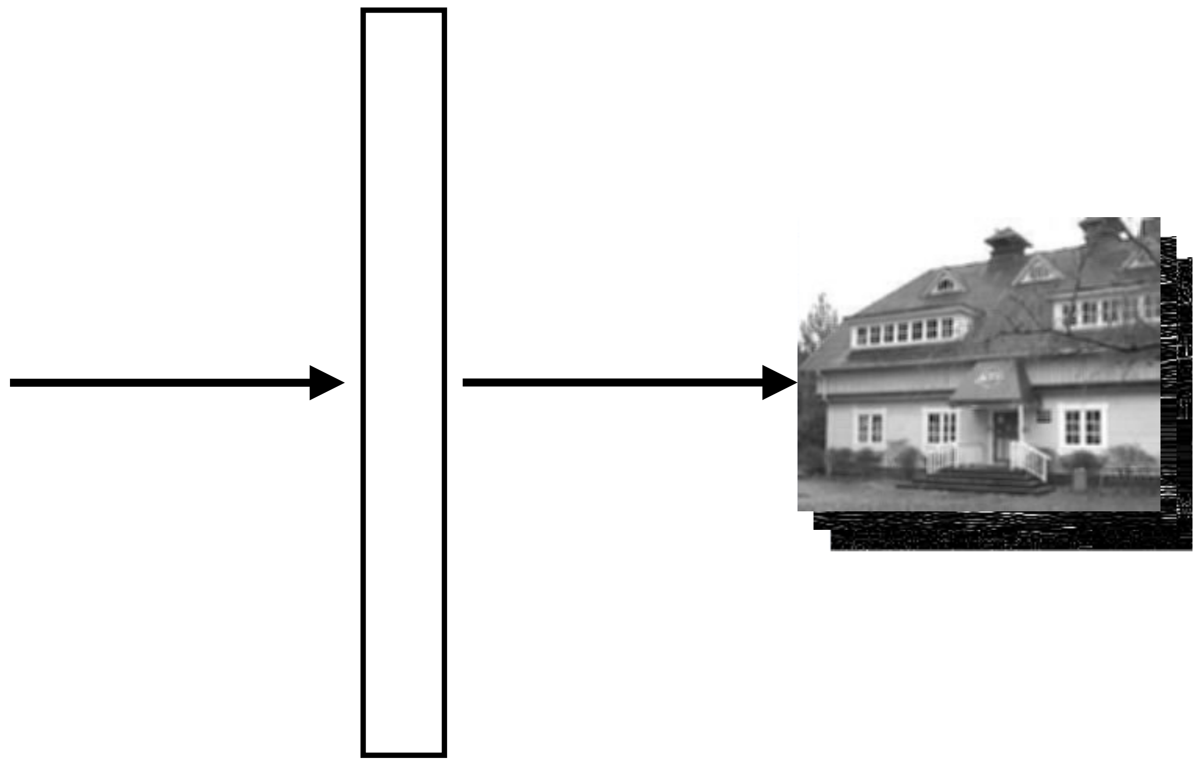


Images from: http://aishack.in/tutorials/image-convolution-examples/

Convolution Layer

Input image

dimensions:
height,
width



conv2d layer
with ReLu activation
and a three 3x3 kernels

Stack output images into a single “output feature map”

dimensions:
height-2,
width-2,
number of kernels
(3 in this case)

Images from: http://aishack.in/tutorials/image-convolution-examples/

Convolution Layer

Input image

dimensions:
height,
width

conv2d layer
with ReLu activation
and *k* 3x3 kernels

Stack output images into a single "output feature map"

dimensions:
height-2,
width-2,
k

Images from: http://aishack.in/tutorials/image-convolution-examples/

Convolution Layer

Input image

dimensions:
height,
width,
depth *d* (# channels)

conv2d layer
with ReLu activation
and *k* 3x3x*d* kernels

technical detail: there's
also a bias vector

Stack output images into a single "output feature map"

dimensions:
height-2,
width-2,
k

Images from: http://aishack.in/tutorials/image-convolution-examples/

Pooling

- Aggregate local information

- Produces a smaller image
(each resulting pixel captures some “global” information)

Max Pooling

$$
\begin{bmatrix}
0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 1 & 1 & 1 & 1 & 1 & 0 \\
0 & 1 & 1 & 1 & 0 & 0 & 0 \\
0 & 1 & 1 & 1 & 1 & 1 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0
\end{bmatrix}
*
\begin{bmatrix}
\mathbf{-1} & \mathbf{-1} & \mathbf{-1} \\
\mathbf{2} & \mathbf{2} & \mathbf{2} \\
\mathbf{-1} & \mathbf{-1} & \mathbf{-1}
\end{bmatrix}
=
\begin{bmatrix}
0 & 1 & 3 & 1 & 0 \\
1 & 1 & 1 & 3 & 3 \\
0 & 0 & -2 & -4 & -4 \\
1 & 1 & 1 & 3 & 3 \\
0 & 1 & 3 & 1 & 0
\end{bmatrix}
$$

Input image

Max Pooling

0	0	0	0	0	0	0
0	0	1	1	1	0	0
0	1	1	1	1	1	0
0	1	1	1	0	0	0
0	1	1	1	1	1	0
0	0	1	1	1	0	0
0	0	0	0	0	0	0

Input image

*

-1	**-1**	**-1**
2	**2**	**2**
-1	**-1**	**-1**

=

0	1	3	1	0
1	1	1	3	3
0	0	-2	-4	-4
1	1	1	3	3
0	1	3	1	0

0	1	3	1	0
1	1	1	3	3
0	0	0	0	0
1	1	1	3	3
0	1	3	1	0

Output image after ReLU

Output after max pooling

Max Pooling

$$
\begin{bmatrix}
0&0&0&0&0&0&0\\
0&0&1&1&1&0&0\\
0&1&1&1&1&1&0\\
0&1&1&1&0&0&0\\
0&1&1&1&1&1&0\\
0&0&1&1&1&0&0\\
0&0&0&0&0&0&0
\end{bmatrix}
*
\begin{bmatrix}
\mathbf{-1}&\mathbf{-1}&\mathbf{-1}\\
\mathbf{2}&\mathbf{2}&\mathbf{2}\\
\mathbf{-1}&\mathbf{-1}&\mathbf{-1}
\end{bmatrix}
=
\begin{bmatrix}
0&1&3&1&0\\
1&1&1&3&3\\
0&0&-2&-4&-4\\
1&1&1&3&3\\
0&1&3&1&0
\end{bmatrix}
$$

Input image

$$
\begin{bmatrix}
0&1&3&1&0\\
1&1&1&3&3\\
0&0&0&0&0\\
1&1&1&3&3\\
0&1&3&1&0
\end{bmatrix}
$$

Output image after ReLU

$$
\begin{bmatrix}
1&\ \\
\ &\
\end{bmatrix}
$$

Output after max pooling

Max Pooling

$$
\begin{bmatrix}
0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 1 & 1 & 1 & 1 & 1 & 0 \\
0 & 1 & 1 & 1 & 0 & 0 & 0 \\
0 & 1 & 1 & 1 & 1 & 1 & 0 \\
0 & 0 & 1 & 1 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0
\end{bmatrix}
*
\begin{bmatrix}
\mathbf{-1} & \mathbf{-1} & \mathbf{-1} \\
\mathbf{2} & \mathbf{2} & \mathbf{2} \\
\mathbf{-1} & \mathbf{-1} & \mathbf{-1}
\end{bmatrix}
=
\begin{bmatrix}
0 & 1 & 3 & 1 & 0 \\
1 & 1 & 1 & 3 & 3 \\
0 & 0 & -2 & -4 & -4 \\
1 & 1 & 1 & 3 & 3 \\
0 & 1 & 3 & 1 & 0
\end{bmatrix}
$$

Input image

$$
\begin{bmatrix}
0 & 1 & 3 & 1 & 0 \\
1 & 1 & 1 & 3 & 3 \\
0 & 0 & 0 & 0 & 0 \\
1 & 1 & 1 & 3 & 3 \\
0 & 1 & 3 & 1 & 0
\end{bmatrix}
$$

Output image after ReLU

$$
\begin{bmatrix}
1 & 3 \\
 &
\end{bmatrix}
$$

Output after max pooling

Max Pooling

0	0	0	0	0	0	0
0	0	1	1	1	0	0
0	1	1	1	1	1	0
0	1	1	1	0	0	0
0	1	1	1	1	1	0
0	0	1	1	1	0	0
0	0	0	0	0	0	0

Input image

*

-1	**-1**	**-1**
2	**2**	**2**
-1	**-1**	**-1**

=

0	1	3	1	0
1	1	1	3	3
0	0	-2	-4	-4
1	1	1	3	3
0	1	3	1	0

0	1	3	1	0
1	1	1	3	3
0	0	0	0	0
1	1	1	3	3
0	1	3	1	0

Output image after ReLU

1	3
1	

Output after max pooling

Max Pooling

0	0	0	0	0	0	0
0	0	1	1	1	0	0
0	1	1	1	1	1	0
0	1	1	1	0	0	0
0	1	1	1	1	1	0
0	0	1	1	1	0	0
0	0	0	0	0	0	0

Input image

*

-1	**-1**	**-1**
2	**2**	**2**
-1	**-1**	**-1**

=

0	1	3	1	0
1	1	1	3	3
0	0	-2	-4	-4
1	1	1	3	3
0	1	3	1	0

0	1	3	1	0
1	1	1	3	3
0	0	0	0	0
1	1	1	3	3
0	1	3	1	0

Output image after ReLU

1	3
1	3

Output after max pooling

Max Pooling

0	0	0	0	0	0	0
0	0	1	1	1	0	0
0	1	1	1	1	1	0
0	1	1	1	0	0	0
0	1	1	1	1	1	0
0	0	1	1	1	0	0
0	0	0	0	0	0	0

Input image

*

-1	**-1**	**-1**
2	**2**	**2**
-1	**-1**	**-1**

=

0	1	3	1	0
1	1	1	3	3
0	0	-2	-4	-4
1	1	1	3	3
0	1	3	1	0

0	1	3	1	0
1	1	1	3	3
0	0	0	0	0
1	1	1	3	3
0	1	3	1	0

Output image after ReLU

What numbers were involved in computing this 1?

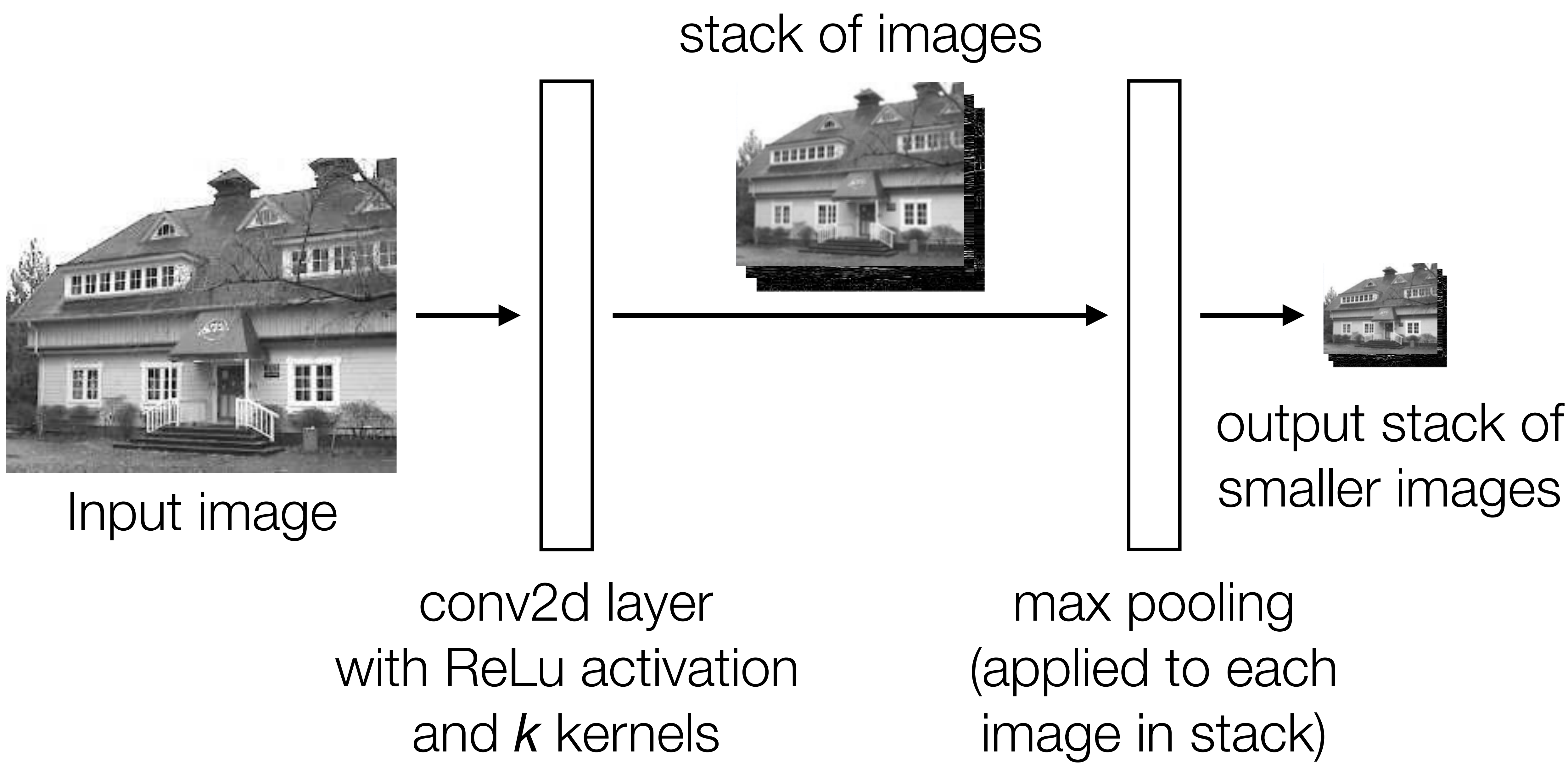
1	3
1	3

Output after max pooling

In this example: 1 pixel in max pooling output captures information from 16 input pixels!

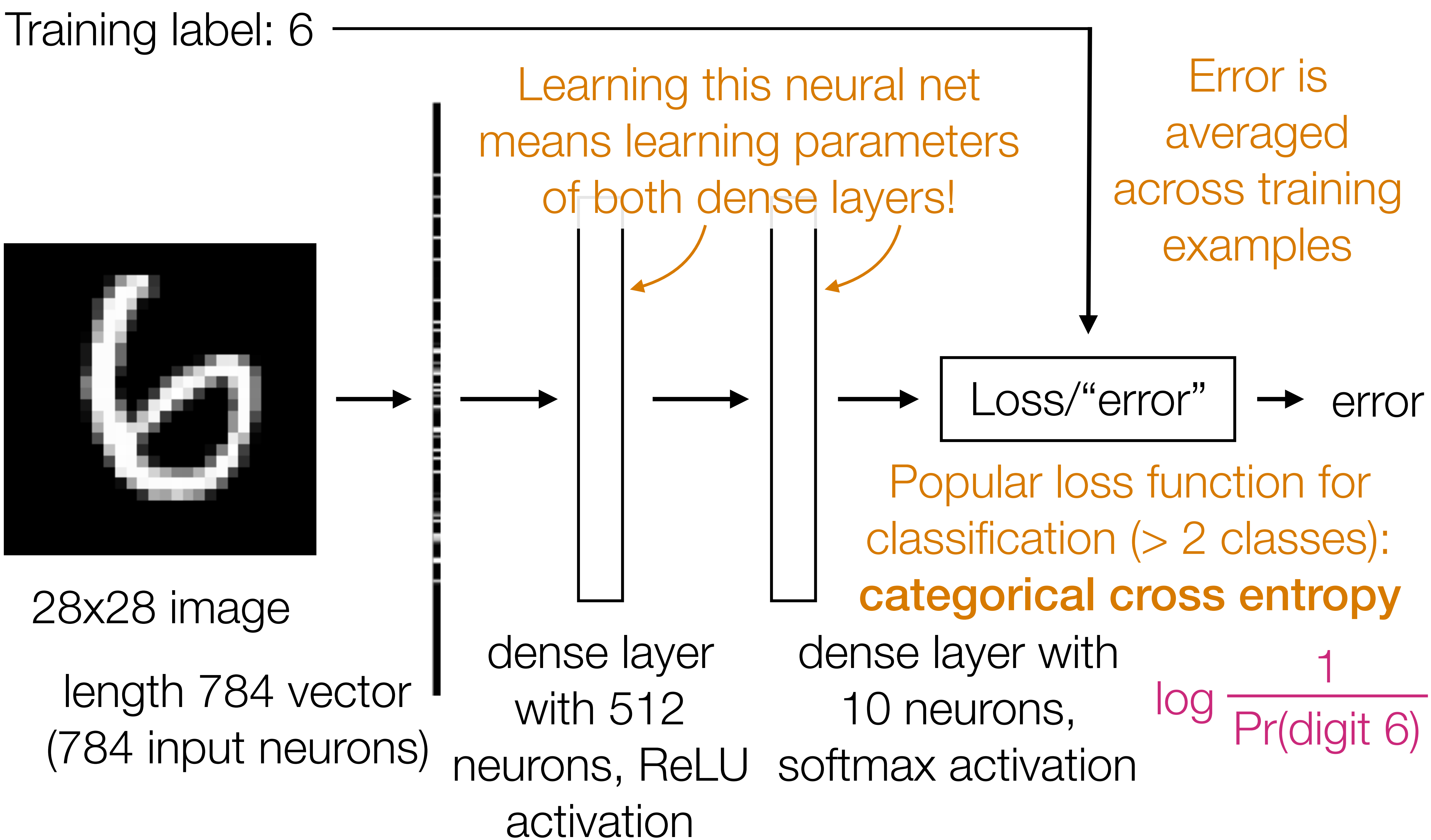
Example: applying max pooling again results in a single pixel that captures info from entire input image!

Basic Building Block of CNN's

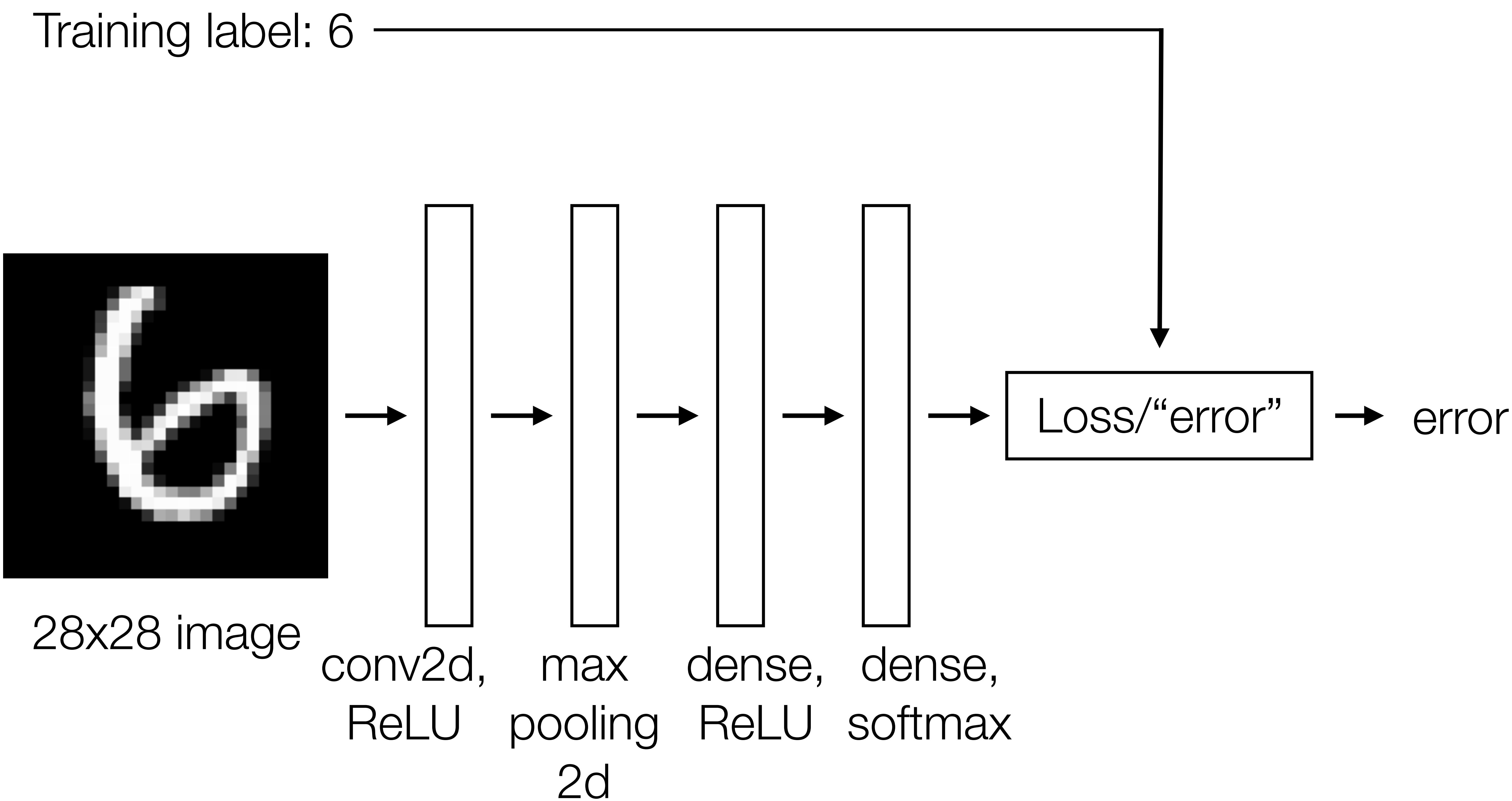


Images from: http://aishack.in/tutorials/image-convolution-examples/

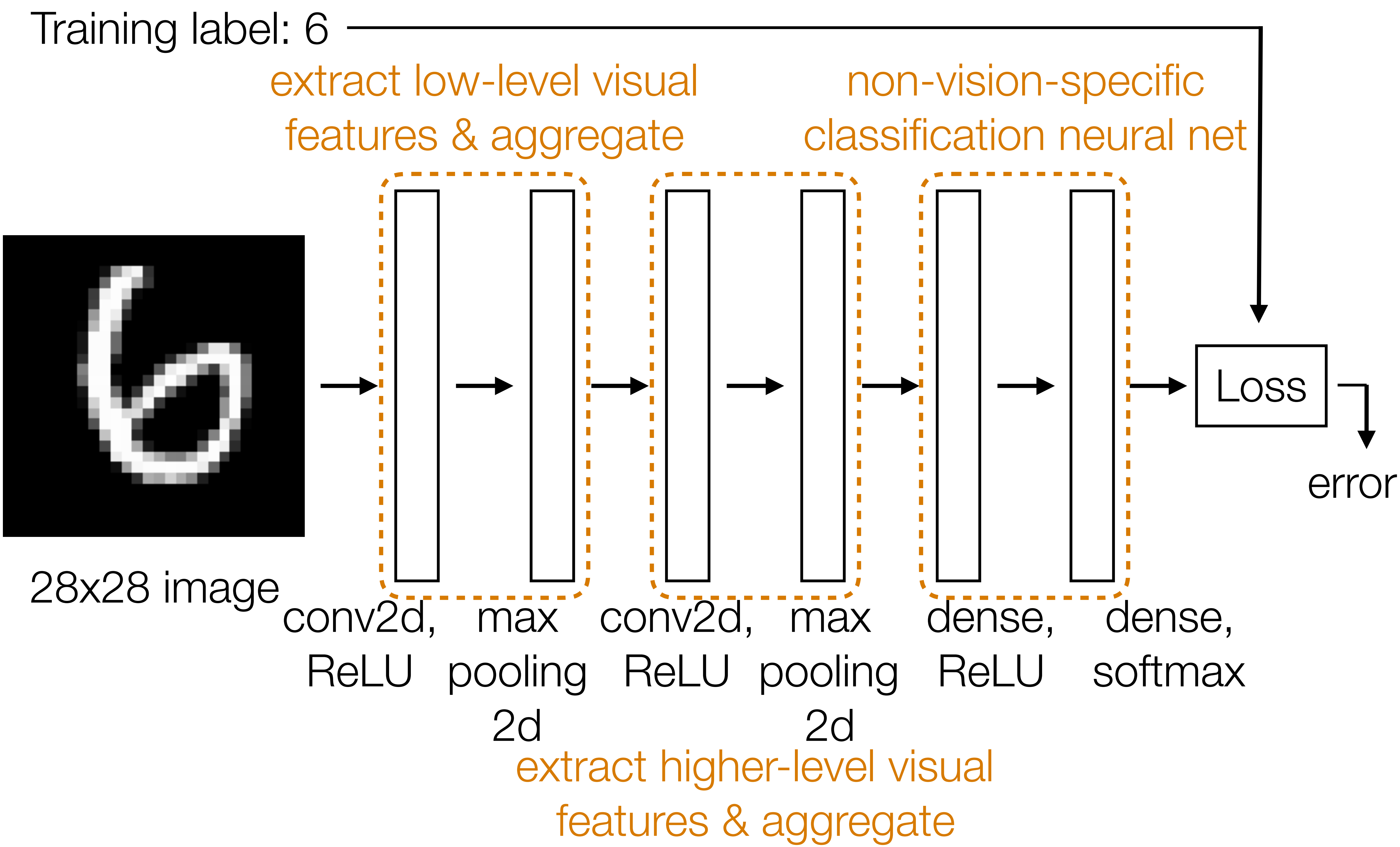
Handwritten Digit Recognition



Handwritten Digit Recognition



Handwritten Digit Recognition



CNN Demo

CNN's

- Learn convolution filters for extracting simple features

- Max pooling aggregates local information

- Can then repeat the above two layers to learn features from increasingly higher-level representations

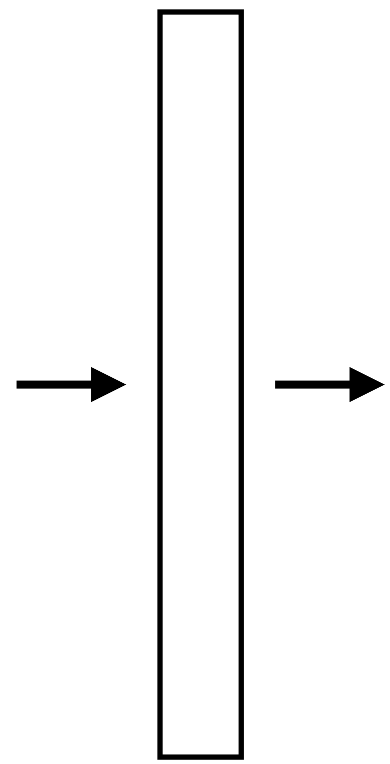
- Convolution filters are shift-invariant

- In terms of invariance to an object shifting within the input image, this is roughly achieved by pooling

Time series analysis with Recurrent Neural Networks (RNNs)

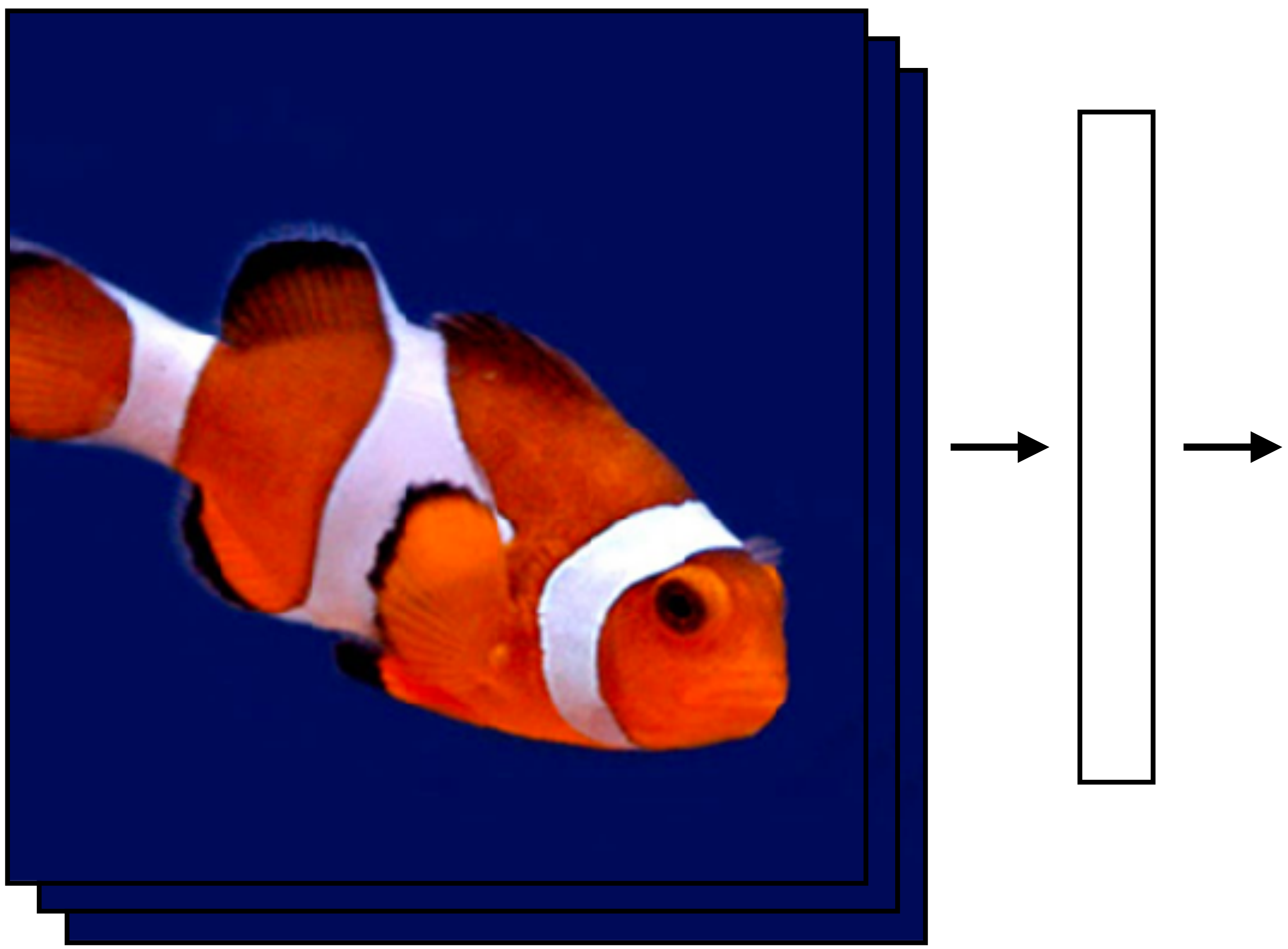
RNNs

What we've seen so far are "feedforward" NNs



RNNs

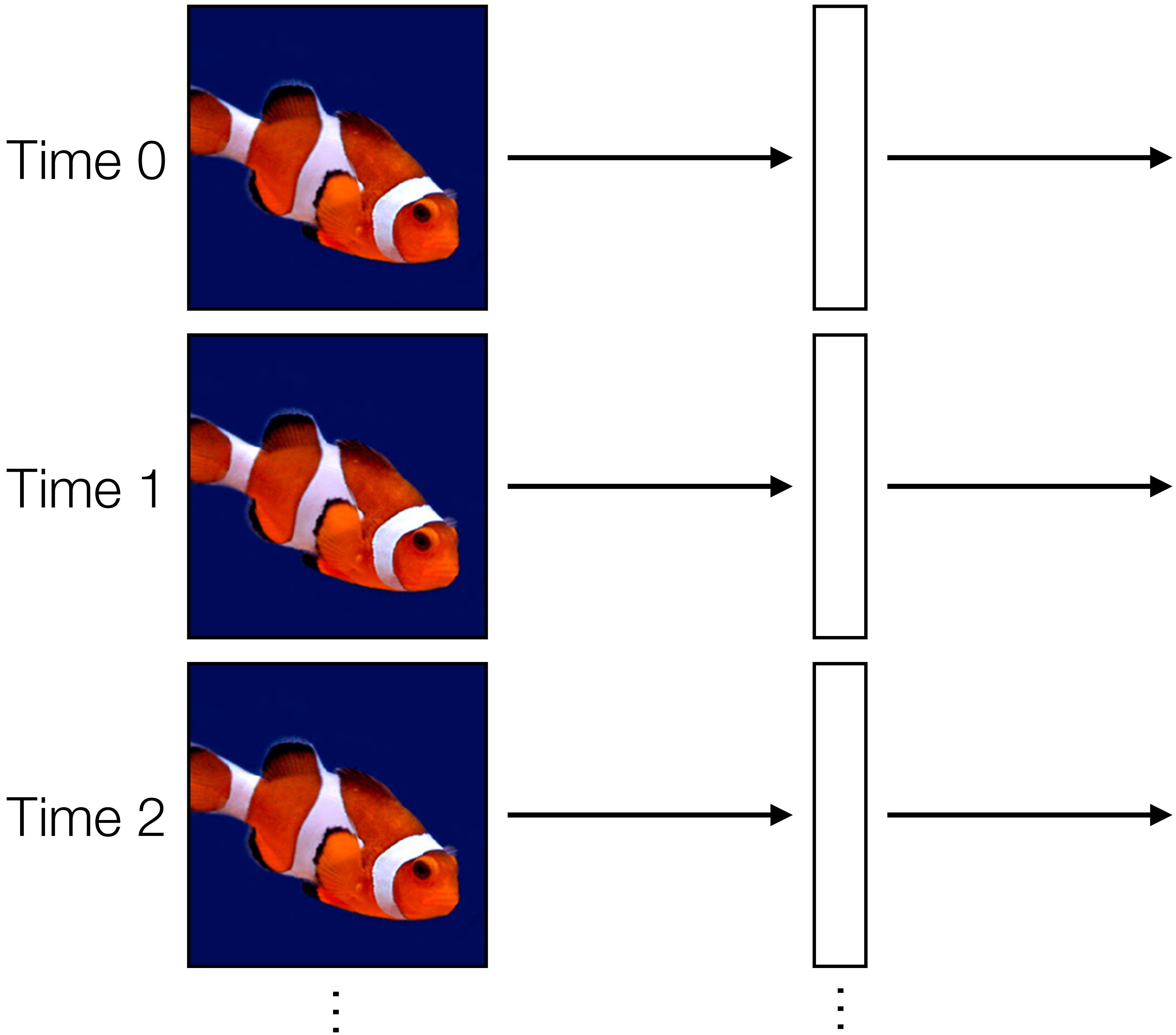
What we've seen so far are "feedforward" NNs



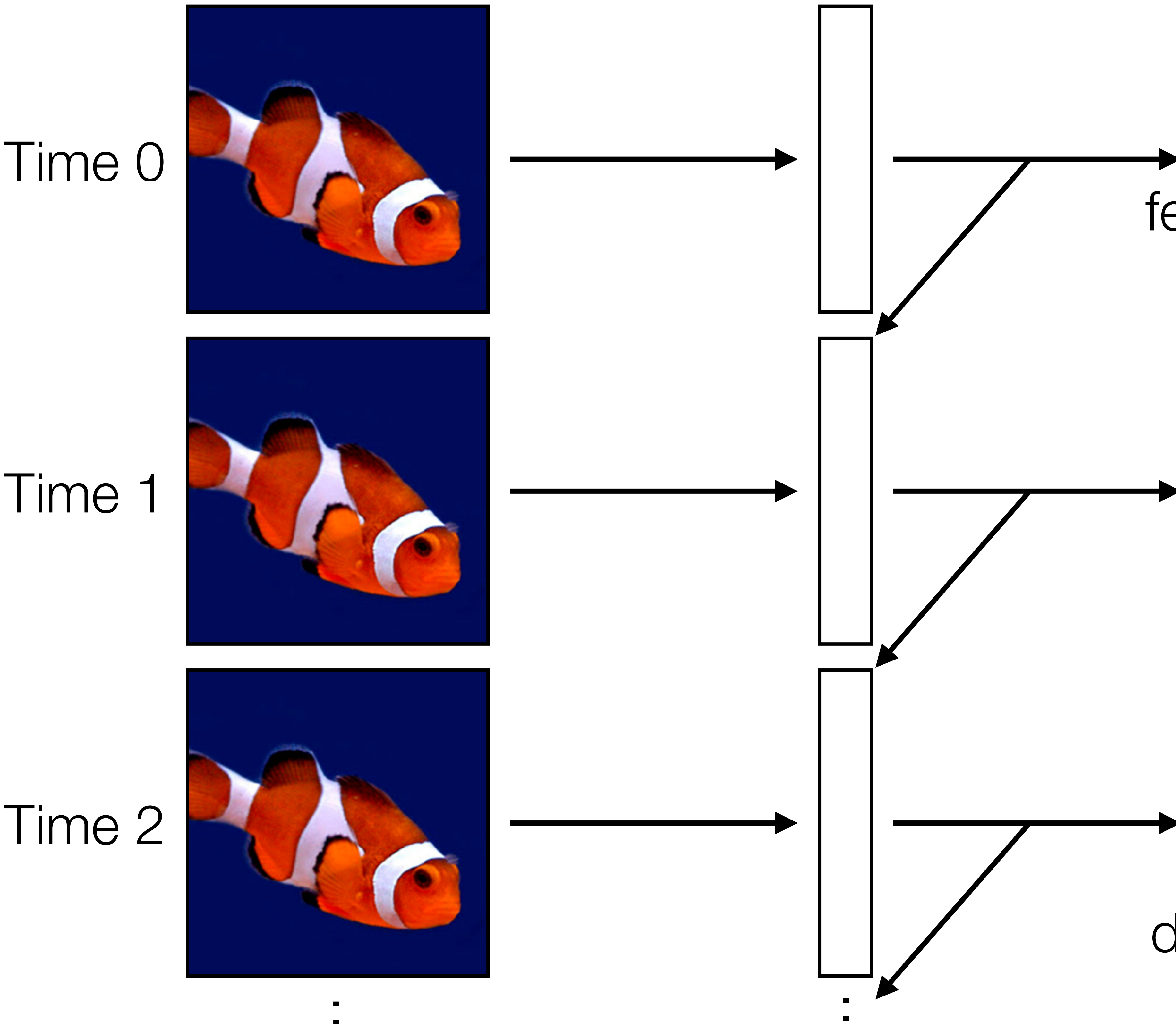
What if we had a video?

RNNs

Feedforward NN's:
treat each video frame
separately



RNNs



Feedforward NN's:
treat each video frame separately

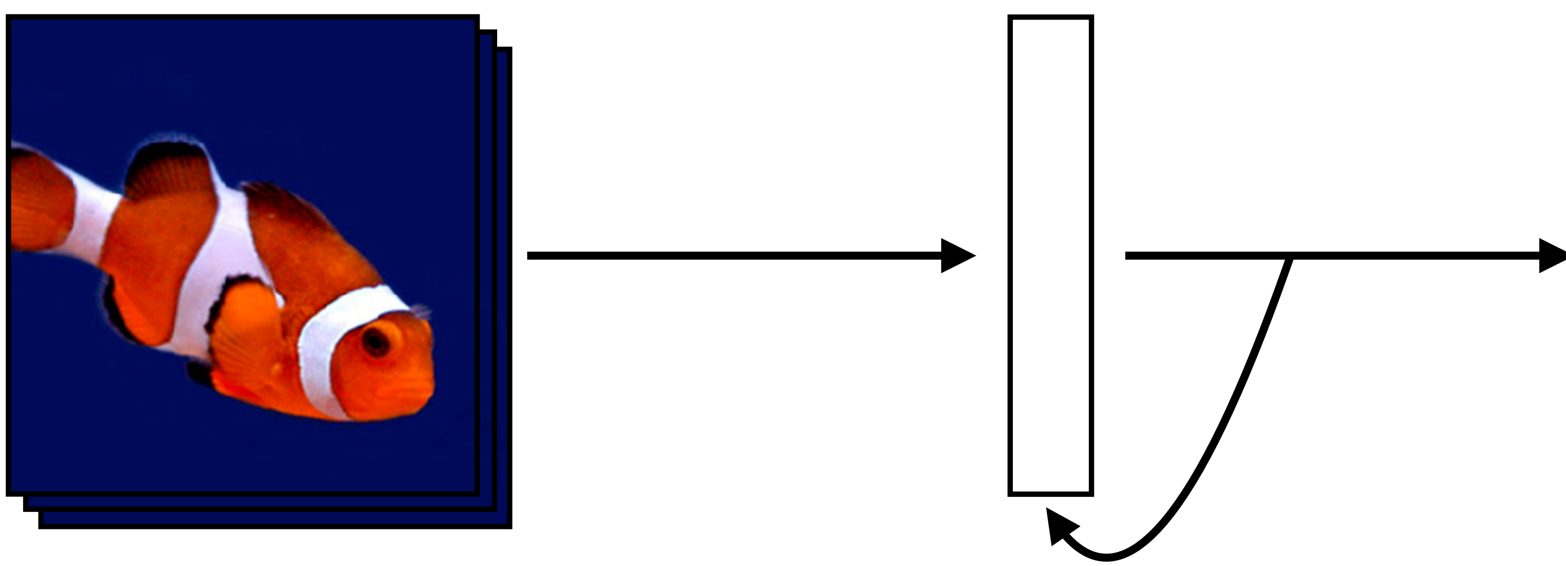
RNN's:
feed output at previous time step as input to RNN layer at current time step

In `keras`, different RNN options:
`SimpleRNN`, `LSTM`, `GRU`

Recommendation:
don't use `SimpleRNN`

RNNs

readily chains together with other neural net layers



Time series

LSTM layer

like a dense layer that has memory

Feedforward NN's: treat each video frame separately

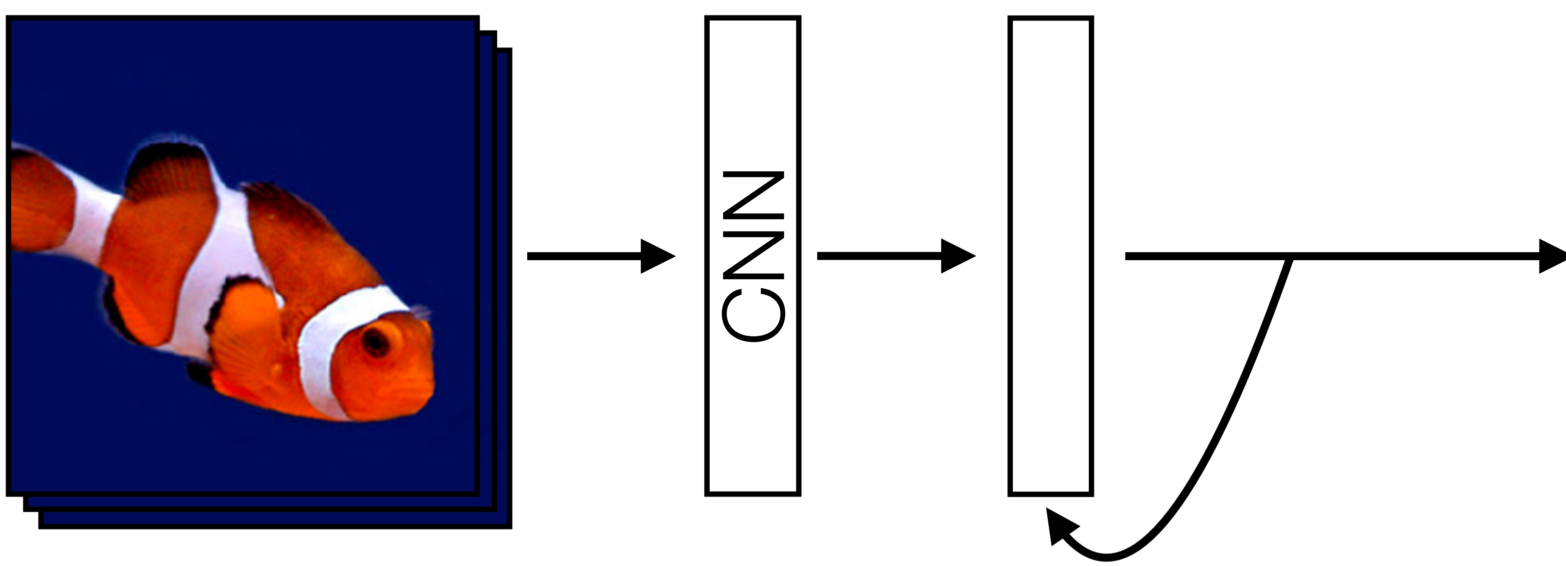
RNN's: feed output at previous time step as input to RNN layer at current time step

In `keras`, different RNN options: `SimpleRNN`, `LSTM`, `GRU`

Recommendation: don't use `SimpleRNN`

RNNs

readily chains together with other neural net layers



Time series

LSTM layer

like a dense layer that has memory

Feedforward NN's:
treat each video frame separately

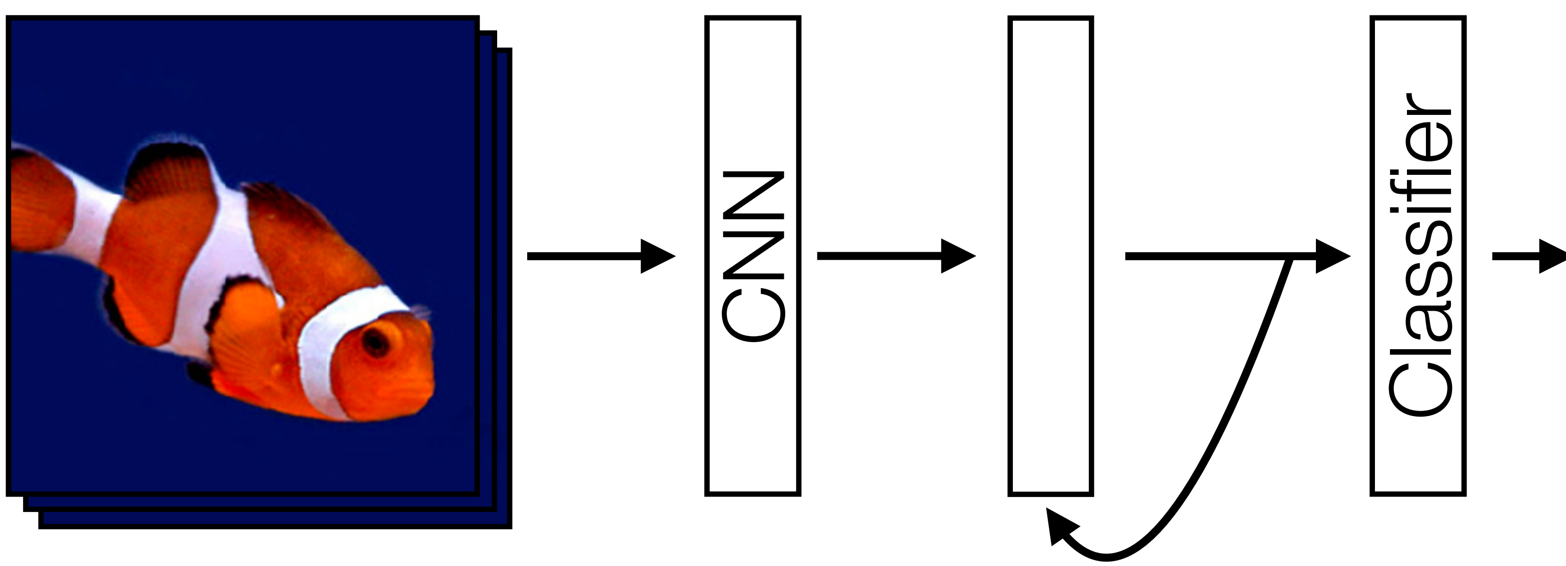
RNN's:
feed output at previous time step as input to RNN layer at current time step

In `keras`, different RNN options:
`SimpleRNN`, `LSTM`, `GRU`

Recommendation:
don't use `SimpleRNN`

RNNs

readily chains together with other neural net layers



Time series

LSTM layer

like a dense layer that has memory

Feedforward NN's: treat each video frame separately

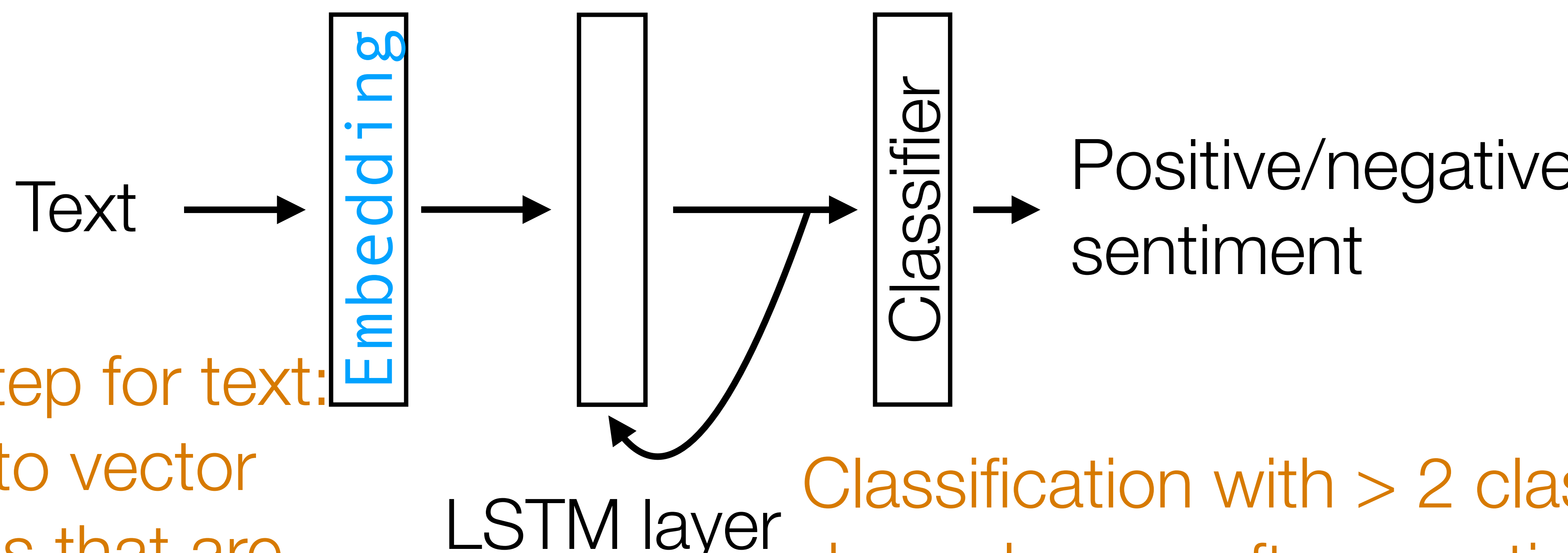
RNN's: feed output at previous time step as input to RNN layer at current time step

In `keras`, different RNN options: `SimpleRNN`, `LSTM`, `GRU`

Recommendation: don't use `SimpleRNN`

RNNs

Example: Given text (e.g., movie review, Tweet), figure out whether it has positive or negative sentiment (binary classification)



Common first step for text: turn words into vector representations that are semantically meaningful

In `keras`, use the `Embedding` layer

Classification with > 2 classes: dense layer, softmax activation

Classification with 2 classes: dense layer with 1 neuron, sigmoid activation

RNNs

Demo

RNNs

- Neatly handles time series in which there is some sort of global structure, so memory helps
  - If time series doesn't have global structure, RNN performance might not be much better than 1D CNN

- An RNN layer by itself doesn't take advantage of image/text structure!
  - For images: combine with convolution layer(s)
  - For text: combine with embedding layer